# ARIZONA DEPARTMENT OF HEALTH SERVICES ASSISTED LIVING LICENSING

Adult Day Health Care Facility
Adult Foster Care
Assisted Living Center
Assisted Living Home
Unclassified Health Care Institution

# Renewal Application Packet

#### Includes:

- 1. Instructions for completing Health Care Institution Application
- 2. Renewal Application for Health Care Institution License
- 3. Application Supplement (Except Adult Day Health Care Facility & Unclassified Health Care Institution)
- 4. Health Care Institution Renewal and License Fee Remittance Form (Exempt for Adult Foster Care)

#### **Submit the completed Application Packet including the following:**

- Application with signed and notarized signature(s)
- Application Supplement
- □ Renewal and License Fee Remittance Form
- □ Application and Licensing Fees, NON-REFUNDABLE (NO PERSONAL CHECKS)

PLEASE RETURN COMPLETE APPLICATION PACKET WITH BUSINESS CHECK
CASHIER'S CHECK OR MONEY ORDER TO: MAKE PAYABLE TO - ARIZONA DEPARTMEN
OF HEALTH SERVICES

150 North 18th Avenue, Suite 420 - Phoenix, Arizona 85007
400 West Congress, Suite 116 - Tucson, Arizona 85701

## **Instructions for completing HCI Application**

#### PLEASE TYPE OR PRINT IN BLACK INK.

Please submit the application, with all required attachments and the required fee. This application will not be complete until all required attachments and fees have been submitted to the Department. If any corrections are made to the application using correction fluid or correction tape, the application will be returned. If you make a mistake filling out the application, put a line through the mistake and initial.

#### I. HEALTH CARE INSTITUTION INFORMATION

Provide all required information.

"Tax ID number" means a numeric identifier that a person uses to report financial information to the United States Internal Revenue Services. (If you are using an individual's Social Security Number, it will be treated as confidential information and redacted from the copy of the application in the facility's public file.)

According to Arizona Revised Statutes, Title 36, Chapter 4, or Arizona Administrative Code, Title 9, Chapter 10, a person may apply for a license as a **health care institution class or subclass**, which are listed below. **Select one of the following classifications and write it on the application**.

Adult day health care facility,
Adult foster care,
Assisted living center,
Assisted living home or
Unclassified Health Care Institution.

#### II. OWNER INFORMATION

"Owner" means a person who appoints, elects, or otherwise designates a health care institution's governing authority. "Proprietary" means an owner or owners. "Non-Proprietary means a leased business, franchise, or in certain instances, a Governmental Agency.

#### III. GOVERNING AUTHORITY

"Governing authority" means the individual, agency, group or corporation, appointed, elected or otherwise designated, in which the ultimate responsibility and authority for the conduct of the health care institution are vested.

#### IV. CHIEF ADMINISTRATIVE OFFICER

"Chief administrative officer" means the individual implementing a governing authority's direction in a health care institution. This is the on-site administrator, or the certified manager.

# V. SIGNATURES - A.A.C. R9-10-105(A) REQUIRES THE APPLICATION SIGNATURES TO BE NOTARIZED

According to A.R.S. § 36-422(B) the application <u>must be signed</u>, as follows:

- (1) If an individual, by the owner of the institution;
- (2) If a partnership or corporation, by two of the partners or corporate officers; or
- (3) If a governmental unit, the head of the governmental department having jurisdiction.

# ARIZONA DEPARTMENT OF HEALTH SERVICES DIVISION OF LICENSING SERVICES

150 North 18<sup>th</sup> Avenue, Suite 420 • Phoenix, Arizona 85007 400 West Congress, Suite 116 • Tucson, Arizona 85701

#### RENEWAL APPLICATION FOR A HEALTH CARE INSTITUTION LICENSE

A.R.S. Title 36, Chapter 4 and A.A.C. Title 9

I. HEA	LTH CARE INSTITUTION INFO	ORMATION					
Name	of health care institution		License number				
Mailir	ng address						
City State			Zip code				
Telephone number Fax number				E-mail address			
Healt	h care institution class or subclass						
II. OW	NER INFORMATION						
Owne	r's name						
Addre	ess						
City				Zip code			
Telepl	hone number			Fax number			
The o	wner is a: (check one)	Proprietary		Non-proprietary			
The owner is a: (check one)		Sole proprietorship		Partnership			
	Limited liability company	Corporation		Governmental Agency			
A.	PLEASE LIST IN THE SPACE PROVIDED BELOW:  If the owner is a partnership, the name of each partner;  If the owner is a limited liability company, the name of the designated manager, or if no manager is designated, the name of any 2 members of the limited liability company;  If the owner is a corporation, the name and title of each corporate officer; or  If the owner is a governmental agency, the name and title of the individual in charge of the governmental agency or the individual designated in writing by the individual in charge of the governmental agency.						
Name			Title				
Name			Title				
Name		Title					
Name			Title				
В.	Has the person applying for a license or a person with 10% or more business interest in the health care institution had a license to operate a health care institution denied, revoked or suspended since the last application was submitted?  Yes No.  Has the person applying for a license or a person with 10% or more business interest in the health care institution had a						
D.	health care professional license or certificate denied, revoked or suspended since the last application was submitted?  Yes No.  If either of the previous questions is answered yes, include on a separate sheet of paper for each yes answer:  The reason for the denial, suspension, or revocation;						

ALS020EXH Date: 6/13/02

The date of the denial, suspension, or revocation;

2.

3. The name and address of the licensing agency that denied, suspended, or revoked the license. **Statutory agent** (or individual designated to accept service of process and subpoenas) Name Title Telephone number Address III. GOVERNING AUTHORITY Name IV. CHIEF ADMINISTRATIVE OFFICER Title Name Education (list the highest educational degree obtained and any instruction related to the health care institution class or subclass for which licensure is requested) Experience (list work experience related to the health care institution class or subclass for which licensure is requested) V. SIGNATURES According to A.R.S. § 36-422(B) the application must be signed, as follows: (1) If an individual, by the owner of the institution; (2) If a partnership or corporation, by two of the partners or corporate officers; or (3) If a governmental unit, the head of the governmental department having jurisdiction. A.A.C. R9-10-105(A) requires the application signatures to be notarized. Signature Signature Date Date Title Title STATE OF\_\_\_\_\_) STATE OF\_\_\_\_\_\_) COUNTY OF \_\_\_\_\_) COUNTY OF \_\_\_\_\_\_) Subscribed and sworn to before me this Subscribed and sworn to before me this day of day of by\_\_\_\_\_\_Notary Public Notary Public My Commission Expires My Commission Expires For DHS use only: Correct application fee enclosed: \_\_\_\_\_ Yes \_\_\_\_\_ No Check #:

G:\RES\_CARE\FORMS\APPLICATION\HCL RENEWAL APPLICATION PHX & TUC071703.DOC

### APPLICATION SUPPLEMENT

## Assisted Living Licensing

l.	Level of Care:
	Directed
	Personal
	Supervisory
II.	Total Capacity of Facility:
III.	Name of Certified Manager:
	Certified Manager's Number:
Signa	ture of Administrator
<del></del>	
Signa	ture Date

Please complete this form and return with your application.

#### ARIZONA DEPARTMENT OF HEALTH SERVICES

Division of Licensing Services Assisted Living Licensing

150 North 18<sup>th</sup> Avenue, Suite 420, Phoenix, Arizona 85007 • (602) 364-2536 400 West Congress, Suite 116 − Tucson, Arizona 85701 • (520) 628-6965

		H CARE INSTITUTIO AND LICENSE FEE I			ON
PJ	LEASE RETURN T	THIS FORM WITH TH	HE PAYN	IENT TO THE ADI	DRESS ABOVE
FACILITY I.D. #:		LICENSE #:		LEVEL OF CARE OR SERVICES	
APPLICAN	T/ENTITY NAME:				
FACILITY 1	NAME:				
STREET ADDRESS: SUITE #:					
CITY:				STATE:	ZIP:
PHYSICAL	ADDRESS:				
CITY:				STATE:	ZIP:
	AMOUNT DUE				
Application I (Please do no		tion fee if the fee has alr	eady been	paid.)	\$ 50.00
	L	ICENSED CAPACITY	Y		
Check One:	Licensed Capacity:	Base Fee:		Number of Beds x \$10.00 each:	Total base fee plus number of beds fee:
	None		\$ 100.00		
	1 to 59 beds		100.00		
	60 to 99 beds		200.00		
	100 to 149 beds		300.00		
	150 or more beds		500.00		
TOTAL AMO	\$				
		by cashier's check, mono			e payable to:
	cility I.D. # or License rsonal checks are not a				
AMOUNT EN	\$				

ALL FEES ARE NON-REFUNDABLE pursuant to A.R.S. § 36-405(c), 36-882(f) and 36-897.01(c), except as provided in A.R.S. § 41-1077.

020EXH (RES\_CARE/FORMS/APPLICATION/HCI license fee remittance form Phx & Tuc.doc)

Date: 3/15/02 (07/17/03)